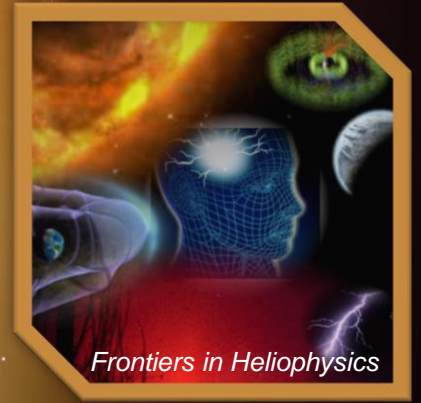


National Aeronautics and  
Space Administration



## DRIVE Science Centers Briefing HPAC

Janet Kozyra, Katya Verner  
NASA HPD Program Scientists

Michael O'Rourke, Chet McCleskey  
MSU Toolbox Dialogue Initiative

June 17, 2024

# Fundamental Value of Research Centers

Integrative review of the literature investigating measures of team science success:

**Benefits** – Analysis of trends suggest:

- Major advances are produced by research teams
- Work is cited more often than that of individual researchers though both research modes are valuable
- Potentially greater scientific impact in the long term
- Cross-disciplinary diversity is assumed to lead to greater innovation

## Challenges

- Discussed by the Toolbox Dialogue Initiative group in later slides
- Challenges may be acceptable if the outcomes accelerate knowledge

---

1. Beth B. Tigges, Doriane Miller, Katherine M. Dudding, Joyce E. Balls-Berry, Elaine A. Borawski, Gaurav Dave, Nathaniel S. Hafer, Kim S. Kimminau, Rhonda G. Kost, Kimberly Littlefield, Jackilen Shannon, Usha Menon, and The Measures of Collaboration Workgroup of the Collaboration and Engagement Domain Task Force, National Center for Advancing Translational Sciences, National Institutes of Health

# DRIVE Science Centers Origins & Vision

- First ever Heliophysics Science Centers
- High priority recommendation of the 2013 Solar and Space Physics Decadal Survey
- Part of an integrated multi-agency initiative, DRIVE (Diversify, Realize, Integrate, Venture, Educate)
- Address grand challenge topics poised for major advances
- Science that requires a research center for progress

## Important Features:

- Support collaboration and deep knowledge integration – *human aspect of science teams*
- Competitive advantage in producing innovation
- Recommended in report by the National Academy of Sciences, “*Enhancing the Effectiveness of Team Science*”
- Activities included for broadening center contributions to community

# DRIVE Centers Program Development Takes Into Account:

- NRC, *Solar and Space Physics: A Science for a Technological Society*, 2013
- NAS, *Enhancing the Effectiveness of Team Science*, 2015
- NAS, *Report Series: Committee on Solar and Space Physics: Heliophysics Science Centers*. CSSP, 2017 & 2018 discussion
- RFI Input from the Scientific Community, 2017
- 2017 HPAC Discussion & Individual Inputs
- 2017 – 2018 Discussions with NSF
- Research into 6 + other NASA & NSF Center Programs
- Discussions within NASA HPD
- Learning from the 2016 LWS FST Team Formation Activities
- Guided by recommendations from the NAS 2015 Team Science report

# What are the Features of a DRIVE Science Center?

**Potential for breakthrough science within its 5-year lifetime**

**Talented, diverse, multi/inter/trans-disciplinary, and fully integrated team; May include modelers, theoreticians, laboratory experimentalists, computer scientists, and observers**

**Empowered leadership that will define and manage all research tasks to realize the research center's vision**

**Supportive infrastructure and management system**

**Creative, substantive activities in some of these areas aimed at: enhancing informal science education, STEM engagement & future workforce development, innovation, diversity, and/or public outreach (Broadening impacts)**

**Potential for impacts on other field(s) and/or benefits to society**

**Synergy or value-added rationale that justifies a center or institute-like approach**

# Phased Approach to Centers

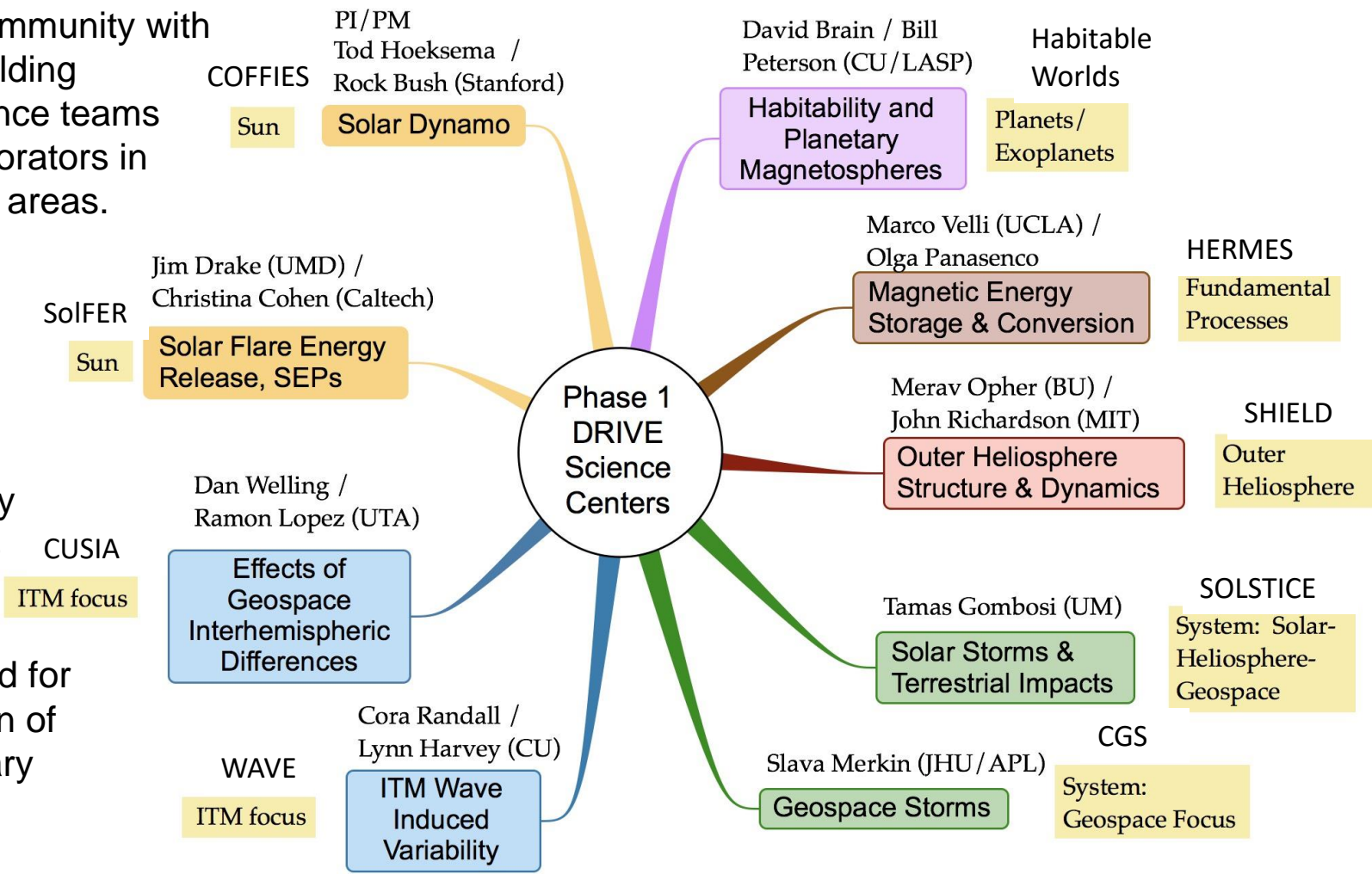
**Phase I:** \$1.3 million over 2 years, to develop the team, build out the center's programs, and conduct critical research to demonstrate that the approach can be productive. Phase II proposal/critical review end of Year 2.

**Phase II:** \$15 million over 5 years (up to 10 years possible with renewal) to conduct high-impact, transformative research that leads to innovation; integrated with early career and diversity elements, and informal science communication. Post-award oversight, possible renewal in Year 5 requires new/expanded science goals.

Seeding the community with expertise in building integrated science teams and with collaborators in other discipline areas.

Longer-term vision for building expertise to solve complex interdisciplinary science issues

Training ground for next generation of multi-disciplinary heliophysics researchers



# Phase 2 DRIVE Science Center Selections

On March 17<sup>th</sup>, 2022, NASA selected three Phase 2 DRIVE Centers (\$3M/YR for 5 Years):



Consequences of Flows and Fields in the Interior and Exterior of the Sun (COFFIES)

- Hoeksema / Stanford



Center for Geospace Storms (CGS)


- Merkin / JHU / APL



Our Heliospheric Shield

- Opher / Boston University





**DRIVE Science Centers Already Producing New Paradigms & Innovation**

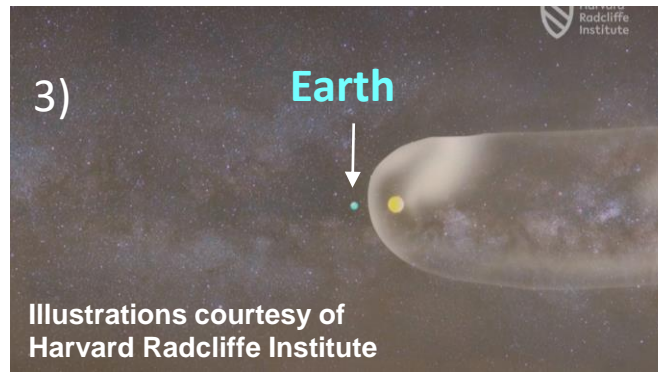
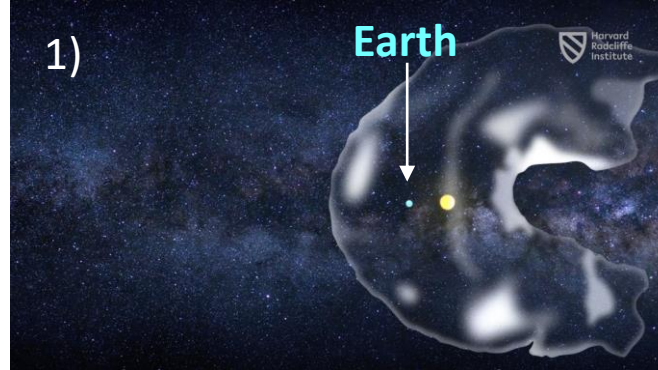


# New HPD Frontier

## Connecting the location of the Sun and the heliosphere in the galaxy to extreme conditions on Earth

### Launch of a new interdisciplinary science area!

- Almost certainly had a substantial impact on our planet and its climate:
  - Cold temperatures
  - Increase in hydrogen in the atmosphere
  - Enhanced radiation
- May help to explain a range of phenomena, like ice ages, diversification of species, & the extinction of dinosaurs.
- Peak in Iron-60 2-3 Myr ago seen at Earth
- Joining research in heliophysics with, astrophysics, terrestrial climate, biodiversity, and fossil records in deep-sea sediments, crust, and lunar samples

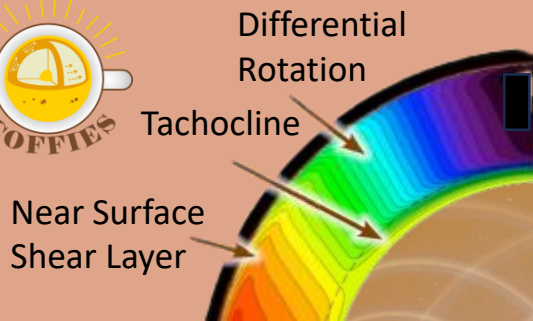


Illustrations courtesy of  
Harvard Radcliffe Institute

- 1) The Earth and Sun within the present-day “croissant-shaped” heliosphere
- 2) Two to three million years ago, the Sun entered a dense cold molecular cloud that would have dramatically compressed the heliosphere.
- 3) The SHIELD “Heliosphere Twin” model, indicates the Earth would have been outside the protection of the compressed heliosphere, leaving it exposed to the ISM and the contents of the cloud, including plutonium-244 and radioactive iron-60
- 4) Paper associating a second peak in iron-60 at Earth with another dense cloud encounter 7 Myrs ago, just accepted in ApJ

A possible direct exposure of the Earth to the cold dense interstellar medium 2-3 Myr ago. M Opher\*, A Loeb, J Peek, Nature Astronomy, 2024. doi.org/10.1038/s41550-024-02279-8

## The Solar Cycle is the Consequence of Fields & Flows

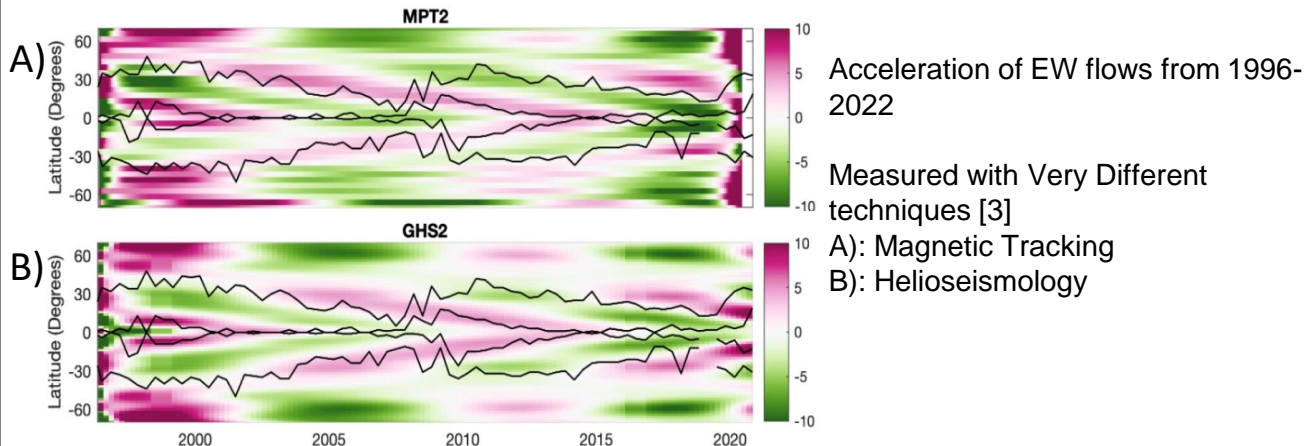


## Fundamental Questions About the Sun's Activity Cycle

- Thin shear layers at the top and bottom of the Convection Zone (CZ) can amplify magnetic fields.
- The deeper Tachocline & CZ [4,5,6] typically get most attention as the seat of the solar dynamo and the source of active region flux.
- Recently attention has focused on the Near Surface Shear Layer (NSSL) in the outer 5% of the Sun. Vasil+[9] suggests the solar cycle might reside near the surface – though that is controversial..

## Near Surface Shear Layer Results Enabled by COFFIES Cross-Disciplinary Teams - Investigating some of the most difficult mysteries about how the Sun's dynamo operates

- COFFIES Team harmonized Zonal Flow accelerations measured with tracking in photosphere and near-surface helioseismology over 2.5 solar cycles [3,8]
- First principles NSSL simulations match observations [2]
- The NSSL has 3 zones [7] The shear across the NSSL varies on global scales on time scales of several months [1]



All papers have COFFIES leads except [9]; Each COFFIES-led paper has contributions from multiple science disciplines; 3 led by post docs [3, 4, 5].

1. Bogart+: 2023ApJ...950L...21B
2. Kitiashvili+: 2023MNRAS.518..504K
3. Mahajan+: 2024SoPh..299...38M
4. Manek+: 2022ApJ...929..162M
5. Matilsky+: 2024ApJ...962..189M
6. Pipin+: 2023ApJ...949....7P

7. Rabello Soares+: 2024ApJ...967..143R
8. Upton+: 2024SoPh, in prep.
9. Vasil+: 2024Natur.629..769V

## New discoveries:

**CGS as a center** brings together the interdisciplinary expertise to connect regions with models from the outer reaches of the magnetosphere to the surface of the Earth for the 1st time.

**CGS innovations in modeling** enable investigation of events from:

- Ionospheric impacts of the 15 Jan 2022 **Tonga Volcano eruption** [Wu et al., JGR, 2023]
- To the rare formation of **Alfven wings** in the 24 April 2023 superstorm [Burkholder et al., GRL, 2024]
- Now to the **extreme penetration electric fields** during the 10-11 May 2024 superstorm and their impacts. [https://cgs.jhuapl.edu/Resources/May-10-12-Geospace-Storm.php]

**CGS collaborates** widely with the Helio community and raises the overall capabilities for cutting-edge research (ex: Alfven wings above).

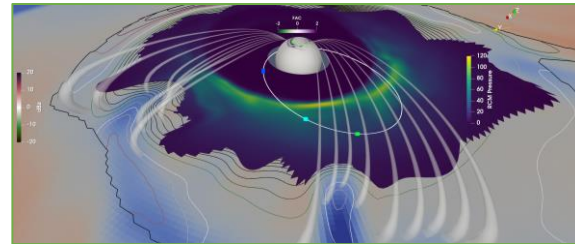
## Innovations in Space Weather Modeling:

- Developing a mesoscale-resolving, data-augmented, seamlessly-coupled, Multiscale Atmosphere Geospace Environment (MAGE) model. Enables holistic modeling whereby geospace regions interact & influence each other in complex, non-linear ways.

## Interdisciplinary Geospace-Atmosphere System Science Team:

- Outer magnetosphere, inner magnetosphere, plasmasphere, ionosphere, atmosphere, modeling, data analysis, software infrastructure

### Plasma sheet bubbles critical to the buildup and evolution of the stormtime ring current

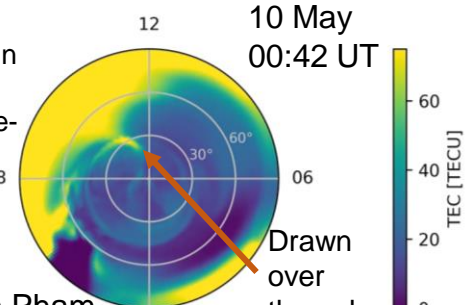


Sciola et al., (2023), JGR Space Phys

- MAGE simulation shows that plasma sheet bubbles contribute at least half of the total buildup of ring current energy during the March 17, 2013 storm
- The contribution of plasma sheet bubbles to ring current buildup is a major outstanding question with global geospace consequences.

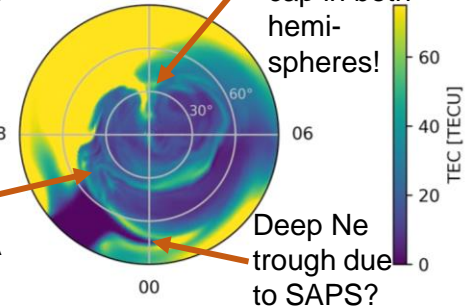
### MAGE modeling of the 10-11 May 2024 Superstorm: Faster than real-time.

Total electron content (TEC) in fully coupled magnetosphere-ionosphere-thermosphere<sup>18</sup> simulation (MAGE).



Work by Kevin Pham @ NCAR/HAO

Low- and mid-latitude Ne enhancement possibly merging with the auroral oval? (cf. NASA GOLD)



Drawn over the polar cap in both hemispheres!

Deep Ne trough due to SAPS?

# Successful Centers

The Heliophysics DRIVE Science Center Program funded these centers, enabling them to produce groundbreaking paradigm shifts and innovations that will change the nature of heliophysics.



# Challenges



# Program Challenges

- Building and optimizing science teams
- Providing resources needed for science centers to develop
- Identifying measures of success that take into account all aspects of the DSCs

Chet McCleskey and Michael O'Rourke with the MSU Toolbox Dialogue Initiative will talk about these challenges and how to meet some of them next

# Toolbox Dialogue Initiative Center



## The Toolbox Dialogue Initiative (TDI) is:

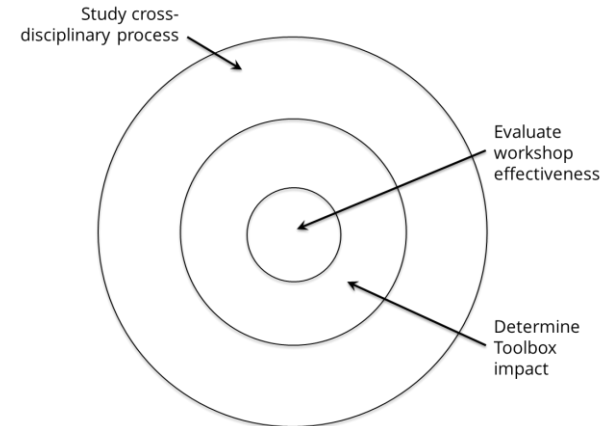
A research and outreach initiative based in the Toolbox Dialogue Center at Michigan State University



### Workshops :

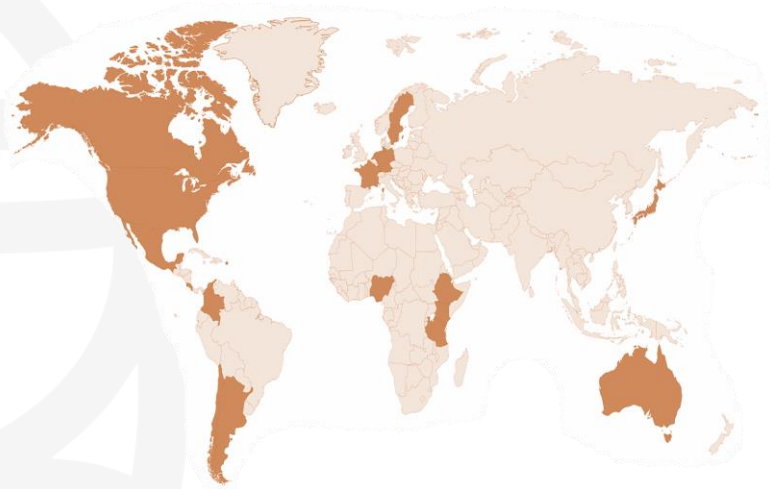


### Research:



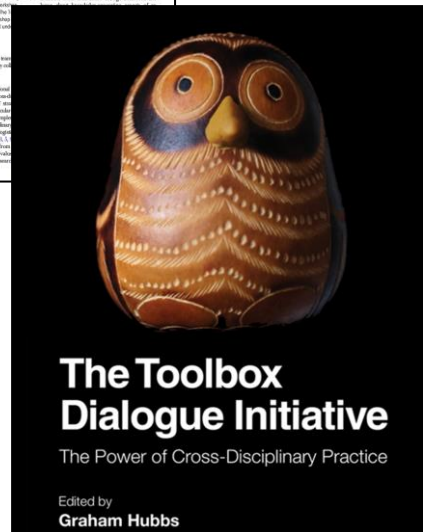


# Toolbox Dialogue Initiative Center



550+ workshops  
around the  
world

21 U.S. states and  
territories, 16 countries



AgBioResearch

# Building and Optimizing Science Teams

## Teams and research

- I. Grand challenges and other complex problems require complex responses
- II. Interdisciplinary teams of experts allow for a variety of perspectives and disciplinary inputs to shape how problems are conceived and contribute to the solutions
- III. In science, teams dominate knowledge production\*

**REPORTS**

**References and Notes**

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**The Increasing Dominance of Teams in Production of Knowledge**

Sofia Wuchty,<sup>1,2\*</sup> Benjamin S. Jones,<sup>3</sup> and Brian Uzzi<sup>1,4†</sup>

We have used 319 million papers over 2 decades and 2.2 million patents to demonstrate that teams increasingly dominate what authors in the production of knowledge. Research is increasingly done in teams, grows more global, and the knowledge that has been produced over time. Teams now also produce the exceptionally high-impact research, now when that information was the domain of solo authors. These results are detailed for science and engineering, social science, arts and humanities, and patents, suggesting that the process of knowledge creation has fundamentally changed.

**A**s a societal indicator of the future, the knowledge of science exemplifies the global, interdisciplinary nature of science. In the individual genes of science, diversity is the rule. The nations, teams, or public contributions of today's authors, such as Newton and Einstein, not only are more broadly integrated; they are more global than ever, with authors from all 195 nations, particularly in fields where the experience and capital investment remain small, making the question as to whether the greatest growth in teams is sustained or diminished a meaningful field.

A shift toward teams also raises new questions of whether more products become accepted. Teams may bring greater collective knowledge and skills, but they also increase in geographic isolation and coordination losses that make

them underperformer individuals even in highly complex tasks (19, 20, 21). For social scientists, this has been observed when the research "too great idea was not being a confidence" (22). From this viewpoint, a shift to teamwork may be a useful phenomenon or one that increases lower-level science, whereas the higher-impact ideas remain the domain of great working alone.

We studied 19.9 million research articles in Science for Scientific Information (ISI) Web of Science database and an additional 2.1 million patent records. The Web of Science data covers research publications in science and engineering since 1975, social science since 1976, and arts and humanities since 1975. The patent data cover all U.S. registered patents since 1975 (23). A team was defined as having more than one listed author (independent of management) following the ISI classification system, the nature of scientific collaboration is defined as how many branches and their combined, individual, science and engineering fields (17 additional social sciences with 54 additional arts and humanities fields) (24) (additional ISI codes are included in the Supporting Online Material (SOM) for details in these classifications).

For science and engineering, social sciences, and patents, there has been a substantial shift toward collective teams. In the sciences, team size has grown steadily each year and nearly

**Table 1. Patterns by field.** For the three broad ISI categories and for patents, we counted the number (N) and percentage (%) of articles that show a larger team size in the last 2 years compared to the first 2 years and the ISI researchers' larger size. In the last 2 years, the team size increased (or decreased) relative to the first 2 years for 106 (or 55) articles, respectively. For patents, we counted the number (N) and percentage (%) of patents that show a larger team size in the last 2 years compared to the first 2 years and the ISI researchers' larger size. In the last 2 years, the team size increased (or decreased) relative to the first 2 years for 106 (or 55) patents, respectively.

	Increasing team size		Decreasing team size	
	Count	%	Count	%
Science and engineering	174	96.6	147	97.7
Social sciences	54	24.0	102	52.9
Arts and humanities	23	18.8	21	16.5
Patents	36	18.8	32	16.5

1036 18 MAY 2007 VOL 316 SCIENCE www.sciencemag.org

## Teams and innovation

- I. Teams with diverse expertise, knowledge, and perspectives have more conceptual resources
- II. These diverse resources allow for more nuanced understanding of the problem and potential solutions
- III. To properly harness those resources teams need a culture of open communication and perspective-taking#

\* Wuchty, S., et al. (2007). The increasing dominance of teams in production of knowledge. *Science* 316: 1036-1039.

# van Knippenberg, D. (2017). Team Innovation. Annual Review of Organizational Psychology and Organizational Behavior. 4:211-233.

# Building and Optimizing Science Teams

## The neurological and psychological underpinnings of team science

- I. Psychological safety is critical to team functioning\*
  - A. Studies are connecting what we know about brains to team functioning
    1. Lack of psychological safety leads to cortisol increase and 'fight or flight' response severely inhibiting collaborative capacity
    2. Presence of psychological safety leads to increase in oxytocin levels associated with interpersonal bonding and better collaboration
- II. Expert level training changes brain structure#
  - A. Affects what people take as salient in a given situation/problem space
  - B. Neuroplasticity leads to practice effects for not only domain expertise but reflexivity needed to appreciate others' perspectives

\* Bonnstetter, R.J., Gosselin, D. (2023). What's the Brain Got to Do with It? In "D. Gosselin (ed.), A Practical Guide for Developing Cross-Disciplinary Collaboration Skills. Springer.

# Gosselin, D. (2023). Introduction to Crossdisciplinary Collaboration: Definitions, Systems, and the Brain. In "D. Gosselin (ed.), A Practical Guide for Developing Cross-Disciplinary Collaboration Skills. Springer.

# Building and Optimizing Science Teams

## Teams, centers, & agencies

- I. Scholarship on teams shows not only their great potential for long term impact but also their challenges\*
- II. Agencies are recognizing the importance of these teams, and creating programs aimed at providing resources
  - A. CTSA (NIH)
  - B. STC (NSF)
  - C. DRIVE Science Centers (NASA)



## NASA leadership

- I. On the mission side, NASA has been a leader in funding research on teams for decades
- II. On the science side, they are also demonstrating leadership through the DRIVE Science Centers
  - A. Recognize that you can be proactive in addressing team challenges
  - B. Provide resources to support capacity building in teams

# Building and Optimizing Science Teams

## Interdisciplinary team challenges

- I. Diversity of expertise is key, but integrating it without washing out differences is a challenge
- II. Integration requires translation across technical languages, coordination of values, reconciliation of different beliefs\*, creating a conducive team culture
- III. Different skills and management styles are needed to facilitate this integration



## One communication challenge in particular

- I. Collaborators from different cultures (e.g., disciplines, institutions) can struggle to communicate because they can understand problems differently#
- II. The Problem of Unacknowledged Differences: You are different from one another, but you don't necessarily know how, and we tend to assume we're more alike than we are@

\* O'Rourke, M., et al. (2016). On the nature of cross-disciplinary integration: A philosophical framework. SHPBBS, 56: 62–70.

# NAS (2004). *Facilitating interdisciplinary research*. Washington, DC: National Academies Press.

@ Ross, L., et al. (1977). The "false consensus effect": An egocentric bias in social perception and attribution processes. *J. of Exp. Soc. Psych.* 13(3): 279–301.

# Building and Optimizing Science Teams

## TDI response in general

- I. Create communication and integrative practices that bring experts from different domains together
  - A. Practice with reflexivity and perspective taking
  - B. Experience dialogue as an integrative practice
  - C. Alert participants to issues they will face and provided them with tips and practice in addressing those issues



## TDI response in particular

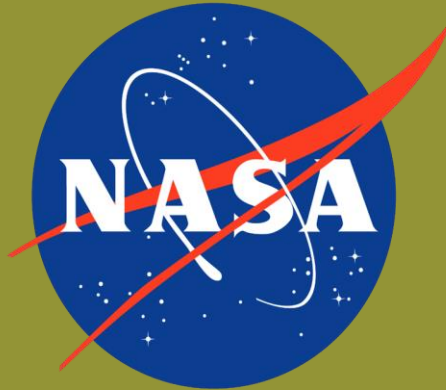
- I. Facilitate structured dialogue-based workshops that address the Problem of Unacknowledged Differences\*
- II. Facilitate capacity building workshops for
- III. Provide follow-up consultation that prepares participants to take advantage of team science resources, e.g., collaboration agreements, customized mini-interventions

\* Hubbs, G., et al. (Eds.). (2020). *The Toolbox Dialogue Initiative: The Power of Cross-Disciplinary Practice*. Boca Raton, FL: CRC Press.

# Providing Resources to Science Centers

## General challenges for agencies

- I. Adjust expectations and success conditions to reflect the complexity of center-based science
- II. Develop funding mechanisms and resources that enhance center synergy
- III. Support capacity building within the centers so that they can harness their differences in pursuit of scientific innovation



## Specific challenges for agencies

- I. Develop mechanisms to support integrated, team-based science
  - A. Funding mechanisms
  - B. Post-award support
- II. Adjust evaluation metrics and approaches
  - A. Different success criteria
  - B. Different evaluation methods

# Providing Resources to Science Centers

## Addressing these challenges

- I. Buy-in is critical
- II. Emphasize 'process' from the start
- III. Consistent messaging from NASA helps
- IV. NASA's culture of teamwork is deeply embedded, but teamwork and team science are not necessarily the same
  - A. Dialogue between NASA and Center leaders regarding resources and evaluation criteria



## TDI Center's role

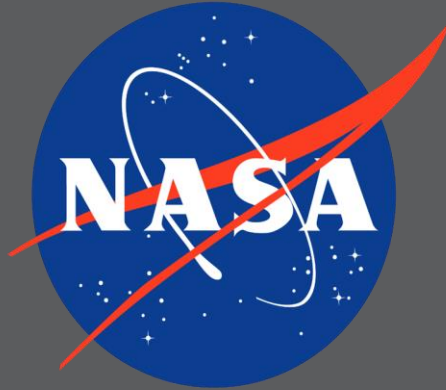
- I. TDI Center has been a partner with the DRIVE Science Center Program from the start and worked with the Phase I centers
- II. Activities:
  - A. Deliver Toolbox workshop at NASA DSC Kick-off meeting
  - B. Develop capacity building workshops and content for DSCs
  - C. Provide ongoing consultation and recommendations for the DSCs



# Identifying Measures of Success

## Success can look different for centers

- I. Publications and funded proposals are critical, of course
- II. Centers are complex and combine many people and perspectives that can spark groundbreaking innovations and paradigm shifts
- III. Centers can also change how science is *practiced*, and that is one goal of the DRIVE Science Center Program



## TDI Center's role

- I. Work with NASA and the Phase II centers to identify measures of success related both to scientific research and practice
- II. We have identified five measures that pertain to both scientific research and practice
- III. We can support Phase II DRIVE Science Centers in pursuing these objectives

# Identifying Measures of Success

## 1. Transformative scientific advances:

Making scientific progress that could not be achieved within the framework of the standard research program?

- Papers leveraging the Center-wide collaboration, cross-disciplinary topics and authors
- Papers in high-impact journals
- Invited presentations, especially at community-wide or international conferences
- Citations & other impact measures

## 2. Empowering the scientific community:

Enabling scientific advances by the community beyond the Center team and lifetime?

- Tangible outcomes/deliverables with community-wide reach
- Publications using Center outcomes by external 1st authors
- Contribution of DSC outcomes to formulation of new NASA missions

## 3. Impacts beyond the scientific community:

Making impacts outside the scientific community?

- Innovations: Development of new methodologies, tools, or technologies.
- Effects of broadening impacts activities

# Identifying Measures of Success

## 4. Heliophysics workforce development:

Is the Center contributing to the development of the Heliophysics workforce of the future?

- Publications by early-career scientists
- Contributions to training the next generation of scientists; Mentoring; Subsequent career trajectories.

## 5. Center effectiveness:

Does the Center's approach to team science maximize its impact?

- Diversity of team members' expertise; Level of integration
- Ability to sustain collaboration, maintain productivity, adapt to changes
- Strength, number, density of & diversity in collaborations; No. of cross-disciplinary collaborations

# Looking Ahead

- I. There is no one formula to rule them all
  - A. All teams are different, but share family resemblances
  - B. Dialogical engagement and other best practices serve as a foundation
- II. Practice makes permanent
  - A. Processes help create culture and that culture spreads
- III. Science benefits from these programs
  - A. Scientists' experiences travel with them and impact future work, training, and thus discoveries





# Future Plans



# Next Steps

- Increase public awareness about the exciting research going on within the interdisciplinary DSCs
- 1<sup>st</sup> Site Visits for Phase II DSCs in late 2024 and early 2025
  - Form a site visit team and create a charter
  - Identify measures of success appropriate to an interdisciplinary center
- Refine Phase I solicitation based on lessons learned from:
  - MSU Toolbox Dialogue Initiative (TDI) team
  - DSC Directors
  - HPD Program Scientists
- Design and implementation of Phase I pre-proposal workshop
- Plan for solicitation of new Phase I DSCs in 2025



# Next Steps – Broad Impact

- Many layers of communication (DSCs, research community, broader community)
- Collaboration between DSCs
  - Visualization
  - HBY
  - Inclusion, Diversity, Equity, and Accessibility Activities
  - Open Science, Software, & Data



## Resources:

- <https://shielddrivecenter.com/>
- <https://cgs.jhuapl.edu>
- <https://coffies.stanford.edu>



# Future Vision for DSCs

**Robust program of multiple 2-year Phase I and 5-year Phase II Centers**

**Exciting progress on complex Heliophysics frontier science topics**

**Continuous seeding of the community with experience in high-functioning interdisciplinary teams**


**Development of relationships with researchers in other discipline areas – source of innovation**

**Investment in Phase I & II teams' experience and refinement of frontier science issues leveraged into other research opportunities (NASA, NSF, NOAA, AFRL, etc.)**

**Increase in diversity/culture of inclusion, STEM engagement, workforce development, contributions to science literacy**

**Innovations that benefit science and society**





**Thank You! Questions?**